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**WEIGHTING SUB-POPULATIONS IN LONGEVITY  
INEQUALITY RESEARCH:  
A PRACTICAL APPROACH**

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A PRACTICAL APPROACH

ABSTRACT

The weights allowing calculation of life expectancy for a whole population as a weighted average of group-specific life expectancies are proposed. They are characterized by a minimum distance from the actual population shares that are different from those assumed in life tables. It is demonstrated how they may be obtained by means of constrained regression, using popular statistical/econometric software. The problem of negative solutions is also addressed. The empirical examples include longevity inequality calculations under various weighting systems. The data come from the Human Mortality Database and from Russia's regional statistics.

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## 1. Introduction

In many demographic studies birth cohorts are decomposed into sub-groups. It might be expected that the whole cohort life expectancy may be calculated as a weighted average of group-specific life expectancies, weighted by the population shares. This is not true however, as the stationary populations assumed in calculations of life expectancies are different from the actual ones. The problem of weights appears, for example, when the world life-tables are constructed. Smits and Monden (2009) created them just by simple summing up single country life tables. In this method, each country receives an equal weight equal to reciprocity of the number of the countries, i. e. the contributions of small and large countries are identical. Hence, the resulting life expectancy is different from the correct one. The problem of weighting appears also in calculation of longevity inequality measures between various sub-populations (countries, regions, socio-economic groups). This issue is explored in the present research.

In prevailing part of the inequality studies the measures utilize equal or population weights. In the papers by Anand et al. (2001) and Sholnikov et al. (2001) (hereafter: A & S) weights allowing calculation of the life expectancy in the overall population as a mean of sub-population life expectancies are recommended. In the present study two amendments to that method are proposed. First, it is demonstrated how the same type of weights may be obtained using constrained regression. The meaning of this modification is purely practical: it allows avoiding matrix manipulations, which is rather awkward when the number of sub-populations is large and the weights are to be calculated for numerous datasets (for instance, for ages from 0 to 110, for both sexes). Second, the A& S method is likely to yield negative weights. In the present study some modifications aimed at reaching the weights positivity are proposed. Alternatively, Excel tool Solver may be employed for that purpose. All algorithms can be implemented using popular statistical/econometric packages rather than specialized software like MatLab. The empirical examples employ three recent datasets: 12 countries included in Human Mortality Database (women and men separately) and 80 regions of Russia (women and men together).

The weights proposed by A & S are intended to ensure a “minimum distance” from the proportion of groups in the overall population. Though this is not pronounced explicitly, the solution is obtained through minimization of the sum of squared differences, i. e. as a

quadratic programming problem<sup>2</sup>. In the original papers it appears in the form of specific matrix product which have to be constructed separately for each database. The solution proposed in the present study utilizes the constrained least squares method embedded in typical statistical/econometric packages. The codes are identical for each dataset and same information as the previous one, i. e. population shares, group-specific life expectancies and overall life expectancy is required. The general idea is based on defining estimated weights as functions of population shares and then employing the constrained regression algorithm to obtain the weights as the solution to a minimization problem under additional conditions. Possibility of obtaining negative weights when A & S method is applied is a problem of greater importance. It is especially likely when very small and very large sub-populations appear in the dataset concurrently. This problem may be handled in several ways. The formal algorithm is based on quadratic programming with an inequality constraint. The Excel add-in Solver offers such a solution, however it also requires matrix manipulations and cannot be applied to large datasets. The regression based algorithm may yield negative weights for some datasets which is the main drawback of this proposal. Adding one more constraint makes negative solutions less likely, however does not exclude it at all.

The first empirical example utilizes data on 12 countries selected from the Human Mortality Database. They are intended to cover possible wide ranges in terms of country size (from Luxembourg to the United States) and longevity (from Russia and Ukraine to Japan and Switzerland). Gini and Theil indices are inequality measures. The latter is additionally decomposed into within and between sub-group inequalities. For that purpose the countries are split into: post-communist European countries, other European countries and non-European ones. Another example is based on longevity statistics in 80 regions of the Russian Federation.

The remaining part of the paper is organized as follows. In Section 2 the details of algorithm based on a minimization of sum of squares is introduced. Section 3 presents alternative method based on a minimization of sum of absolute values. Section 4 offers some solution to the problem of negative weight estimates. In Section 5 several inequality measures are calculated using various types of weights. Section 6 concludes.

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<sup>2</sup> Alternative solution based on minimization of sum of absolute deviations is also examined in the present study.

## 2. Practical algorithm for minimizing sum of squares of deviations.

Formally, the problem of weights by which life-expectancies of population groups at age  $x$  ( $e_{ix}$ ) are weighted together to a given life-expectancy ( $e_x$ ) may be written as a system of two equations:

$$\sum_{i=1}^n e_{ix} \frac{l_{ix}}{l_x} = e_x \quad (1)$$

$$\sum_{i=1}^n \frac{l_{ix}}{l_x} = 1 \quad (2)$$

where  $l_{ix}$  stands for a number of the people at age  $x$  in  $i$ -th group ( $i = 1, 2, \dots, n$ ) and  $l_x$  is a total number of the people at age  $x$ . As it is not necessary to know both  $l_{ix}$  and  $l_x$ , the weights  $\frac{l_{ix}}{l_x}$ , being a solution to the above system, are denoted hereafter as  $w_{ix}$ . The above equations give a unique solution if and only if the number of population groups ( $n$ ) is two. The algorithms proposed in the present study utilize constrained regression which is included in standard statistical or econometric packages and may be applied to more than two sub-groups (countries or regions in the present study). For simplicity, the age subscript  $x$  is dropped hereafter, as the algorithm is identical for each age group.

Let  $v_i$  denotes  $i$ -th population share. The weights  $w_i$  are the solution to the following minimization problem

$$\min_w \sum_{i=1}^n (w_i - v_i)^2 \quad (3)$$

such that

$$\sum_{i=1}^n w_i e_i = e \quad \text{and} \quad \sum_{i=1}^n w_i = 1 \quad (4)$$

To take an advantage of minimization algorithms built in statistical/econometric packages one should write a weight  $w_i$  as a function of population share, say  $f(v_i)$ . The number of its parameters should be greater than the number of constraints but not higher than the number of population shares. It results from simple simulations that the solutions are virtually insensitive to the type of the function  $f$ . Therefore, a quadratic form which may be estimated using a linear algorithm is used

$$w_i = f(v_i) = a(v_i)^2 + bv_i + c \quad (5)$$

Hence, the minimization problem (3 – 4) is equivalent to the constrained estimation of the parameters  $a$ ,  $b$  and  $c$  by the least squared method, under following constraints

$$a \sum_{i=1}^n e_i v_i^2 + b \sum_{i=1}^n e_i v_i + c \sum_{i=1}^n e_i = e \quad (6)$$

$$a \sum_{i=1}^n v_i^2 + b \sum_{i=1}^n v_i + nc = 1 \quad (7)$$

Once the parameters are estimated, the weights may be calculated using eqn (5).

In the light of the econometric theory, the presented method seems to be nonsensical, as population shares  $v_i$  appear both on the left-hand and right-hand sides of the estimated equation. However, this estimation is performed solely for utilizing an optimization algorithm included in the least squares method. For the same reason, no post-estimation tests are necessary. In this study the STATA command ‘cnsreg’ is used. It is also possible to rewrite eqns (5) - (7) in the way allowing estimation of constrained regression models when the only available constraint is imposing the intercept equal to zero. This method is described in details in the next section, presenting the algorithm based on minimization of the absolute deviations, which may be an alternative to the least squares method.

### 3. Algorithm for minimizing the sum of absolute deviations.

In that case the general principles of estimation of the weights are identical. The only difference is in construction of eqn (3) which takes the form

$$\min_w \sum_{i=1}^n |w_i - v_i| \quad (8)$$

This type of estimation is known as the least absolute deviations regression (LAD) or Laplace regression (Koenker and Bassett, 1978). Though this type of regression is attributed by some advantages over the least squares method, they are not meaningful in the present context.

Nevertheless, when very small weights appear (less than 0.01), they virtually have no impact on the final solution when squared differences are minimized. For that reason, minimization of absolute deviations is worth consideration. Unfortunately, most of statistical/econometric packages does not allow constrained LAD optimization. Among others, few allows only one type of constraint: zero intercept (c in eqn 5). Supplementary to the present estimations, TSP (Time Series Processor) has been experimentally used<sup>3</sup>. The respective command is ‘LAD’ with the abovementioned constraint. LAD estimation under constraints (6) and (7) is feasible after rewriting dependent and independent variables,  $ww_i$  and  $vv_i$  respectively, in the following manner

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<sup>3</sup> The results available upon request.

$$ww_i = v_i^2 \left[ \left( \frac{1}{v_i^2} - \frac{p3}{p1} \right) \left( \frac{1 - e \frac{q1}{p1}}{n - q1 \frac{p3}{p1}} \right) + \frac{e}{p1} \right]$$

$$vv_i = v_i - v_i^2 \frac{p2}{p1} - \left( 1 - \frac{p3}{v_i^2 p1} \right) \frac{q2 - q1 \frac{p2}{p1}}{n - q1 \frac{p3}{p1}}$$

where  $p1 = \sum_{i=1}^n e_i v_i^2$ ,  $p2 = \sum_{i=1}^n e_i v_i$ ,  $p3 = \sum_{i=1}^n e_i$ ,  $q1 = \sum_{i=1}^n v_i^2$  and  $q2 = \sum_{i=1}^n v_i$

Next, the following regression model should be estimated by means of the LAD

$$ww_i = b \cdot vv_i$$

Once the parameter  $b$  is estimated,  $a$  and  $c$  can be calculated using the equations

$$c = \frac{1 - b \left( q2 - q1 \frac{p2}{p1} \right) - e \frac{q1}{p1}}{n - q1 \frac{p3}{p1}}$$

$$a = \frac{e - b \cdot p2 - c \cdot p3}{p1}$$

and, finally, the eqn (5) is used to calculate the weights. Identical algorithm may be also used for minimizing sum of squares, described in the previous section. This may be especially useful, when for some datasets the minimization algorithm built in typical packages is unable to provide a solution when equations (5) – (7) are employed.

#### 4. Handling negative solutions

The algorithms presented in chapters 3 and 4, neither A & S method do not ensure solutions yielding positive weights. Receiving negative estimates is likely when sub-populations vary considerably in terms of sizes and some of them represent very small (say, much less than 1%) shares. This problem may be handled in two ways. First, by adding an additional constraint in the estimation based on equations (5) – (7). As standard statistical/econometric packages does not allow imposing positive solutions, it has to be written indirectly. After changing eqn (5) from quadratic to cubic (to ensure the number of parameters greater than the number of constraints), the additional constraint may take the form

$$av_{min}^3 + bv_{min}^2 + dv_{min} + c = v_{min} \quad (9)$$

where  $v_{min}$  stands for a minimum population share.

In that way, a minimum estimated share remains unchanged and therefore cannot be negative. If a weight  $w_i$  is an increasing function of population share  $v_i$  all solutions are positive. This condition is not necessary true, however. Therefore, in some cases  $v_{min}$  might be replaced by a maximum (or any reliable) value, especially when the estimated weight for highest population share is greater than actual one. Nevertheless, none of this conditions protects from receiving negative weights. If this happens one can use Excel add-in Solver (downloadable from the producer) allowing to reach non-negative weights. However, this requires matrix manipulations that might be avoided when using methods based on regression. Moreover, Solver is not capable to manage large datasets. At no circumstances the number of sub-populations can exceed 200, however with some more complex algorithms this limit may be reduced to less than 70. Hence, the weights for 80 Russia's regions could be calculated with the simplest method only.

Excel Solver is capable to provide both minimization of squares (eqn 3) and of absolute values (eqn 8). The first one may be handled using built-in nonlinear procedure with two constraints (eqn 4). Minimization of absolute values may be performed using linear SIMPLEX method with an additional constraint. As  $|x| = \max\{x, -x\}$ ,  $w_i$  non-negativity may be ensured by adding constraints

$$\forall i: \begin{cases} w_i - v_i \geq w_i - v_i \\ w_i - v_i \geq v_i - w_i \end{cases}$$

while the function minimised is  $(w_i - v_i)$ . Since Excel Solver does not allow constraints in the form 'greater (less) than' it may be necessary to add one more restriction (at the cost of further reduction of the data size) in the form  $w_i \geq \varepsilon$ , where  $\varepsilon > 0$  stands for a reasonably small (say, 0.00001) number.

Two more methods might be added to the abovementioned. As negative solutions appear only for the sub-populations with very small shares, they may be corrected "manually" after the estimation. The formal solutions might be changed to (e. g.) actual population shares while one or two largest weights are respectively decreased. Naturally, this method cannot be justified on the theoretical ground and its usefulness is purely practical, as allows avoiding matrix manipulations, necessary when Excel Solver is used. Another approach is based on regressions ensuring the solutions fitting interval  $[0; 1]$ . Fractional regression (Papke and Wooldridge, 1996) or constrained logit regression might be used for that purpose however



both techniques are somehow problematic. They are based on maximum likelihood method rather than on minimization of the deviations. For that reason they hardly can be said to provide a “minimum distance” between estimated weights and population shares. Moreover, only few statistical/econometric packages offer aforementioned algorithms.

### 5. Empirical example.

In this section longevity inequality measures are calculated using various types of weights described in the previous sections. The data include

- 12 countries selected from Human Mortality Database (years 2013 or 2014), men and women separately (hereafter: HMD12)
- 80 regions (*raions*) in Russia, 2010, men and women together, source: Human Development Report, 2013

**Table 1. Life expectancy and population shares for 12 HMD countries**

Country	Life expectancy, women	Population share	Life expectancy, men	Population share
Czech Republic	81.15	0.01312	75.15	0.01352
Germany	82.86	0.10088	77.99	0.1031
Israel	83.84	0.00988	80.29	0.01035
Japan	86.63	0.15840	80.23	0.160463
Luxembourg	83.43	0.00066	79.37	0.000703
New Zealand	83.42	0.00554	79.8	0.005664
Poland	80.92	0.04876	72.98	0.048824
Russian Federation	76.29	0.18880	65.1	0.173701
Sweden	83.71	0.01174	80.1	0.012477
Switzerland	84.74	0.00998	80.52	0.01039
USA	81.29	0.39238	76.54	0.405933
Ukraine	76.21	0.05985	66.31	0.054875
Weighted mean	81.13 (80.75)	-	74.69 (74.49)	-

*Legend: life expectancies from life tables in parentheses (last row)*

*Source: own calculations based on Human Mortality Database*

Table 1 displays life expectancies and population shares for HMD12. The data for Russia are too large to fit this paper (they may be found in Human Development Report, 2013, Tab. 7.2, pp. 139-140). Using population shares instead of weights applied in life tables results in moderate misestimation of average life expectancy: from 0.2 to 0.38 years. Table 2 displays the differences between maximum and minimum life expectancies (ranges) for three datasets analyzed. What may be surprising, the range for Russian regions is higher than those observed for HMD12 countries: by three years for men and by 9.7 years for women.

**Table 2. Life expectancy ranges (in years)**

HMD12, women	HMD12, men	Russia 80
range: $e_{\max} - e_{\min}$		
86.63 - 76.21 = 10.42 (Japan, Ukraine)	80.52 - 65.10 = 15.64 (Switzerland, Russia)	79.08 - 61 = 18.08 (Ingushetia, Tuva)

*Source: own calculations based on Human Mortality Database and Human Development Report (2013)*

The estimates of weights<sup>4</sup> utilizing STATA constrained regression are satisfactory (all weights are positive) for HMD12 for men and for the regions of Russia. However, for HMD12 for women some negative weights were obtained. Therefore it was necessary to employ Excel Solver ensuring all positive weights. Two abovementioned algorithms, based on minimization of sums of squares and of absolute values, were applied for all datasets. For the regions of Russia, however, it was impossible to obtain the weights by means of the latter method, due to the dataset size exceeding Excel Solver capacity.

The ranges calculated for life expectancies (Table 2) are insensitive to the weighting system, therefore to evaluate its impact on inequality measures it is necessary to calculate different inequality indices. In the present study two formulas, Gini and Theil, are employed. The latter is also decomposed into between- and within-group inequality. In Table 3 Gini inequality indices for three datasets are displayed. This formula is calculated with the use of four types of weights described in the previous sections. The most general conclusion is: weighting matters. The weighted indices range from 80.6% to 114.3% of unweighted formula,

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<sup>4</sup> Detailed estimates available upon requests.

depending on the data employed, however no regularities in the sign of those differences can be observed. For Russia weighting sub-group life expectancies reduces inequality measures by from 13% to 19.4%. On the other hand, for HMD12 countries using weights raises indices by from 5.2% to 14.3%. The latter may be easily explained by the data: five largest countries constituting more than 90% of the whole population (USA, Russia, Japan, Germany and Ukraine) are characterized by very large disparities in life expectancy (see Table 1). Similar, though more sizable, impact of weighting may be observed when Theil inequality index is utilized (see Table 4): increase for HMD12 countries and reduction for Russia. Higher absolute differences, as compared to those obtained by means of Gini formula, may be explained by general properties of those indices. Theil index is much more sensitive to extreme individual values, while Gini index is responsive to their whole range. This property is also responsible for much higher relative differences between inequality measures for women and men when Theil index is employed. All abovementioned observations are valid irrespectively to the method of the weights estimation, though the differences between the final inequality measures due to the algorithm applied are non-negligible. For HMD12 data the results obtained by Excel Solver are closer to those obtained with the use of actual population shares than the constrained regression estimates. Opposite relations may be observed for Russia.

**Table 3. Gini inequality indices under various weighting of sub-populations**

Weights	Women HMD12	Men HMD12	Russia 80
	Gini index * 100		
no weights	1.9544	3.4823	2.11644
actual population shares	2.22533 (113.9%)	3.6647 (105.2%)	1.84198 (87.0%)
STATA, min. squares	<i>n. a.</i>	3.88038 (111.4%)	1.80208 (85.1%)
Solver, min. squares	2.23347 (114.3%)	3.7847 (108.7%)	1.70628 (80.6%)
Solver, min. absolute values	2.19255 (112.2%)	3.73571 (107.3%)	<i>n. a.</i>

*Legend: percentage of unweighted index in parentheses*

*Source: own calculations based on Human Mortality Database and Human Development Report (2013)*

**Tab.4. Theil inequality indices under various weighting of sub-populations**

Weights	Women HMD12	Men HMD12	Russia 80
	Theil index * 100		
no weights	0.0672	0.2337	0.08709
actual population shares	0.08577 (127.6%)	0.25652 (109.8%)	0.05721 (65.7%)
STATA, min. squares	<i>n. a.</i>	0.28188 (120.6%)	0.05573 (64.0%)
Solver, min. squares	0.08632 (128.5%)	0.26877 (115.0%)	0.05003 (57.4%)
Solver, min. absolute values	0.08379 (124.7%)	0.26421 (113.1%)	<i>n. a.</i>

*Legend: percentage of unweighted index in parentheses*

*Source: own calculations based on Human Mortality Database and Human Development Report (2013)*

In the final step an impact of weighting on decomposition of Theil index into within- and between-group inequality (for details of the decomposition see e. g. Shorrocks, 1980) is evaluated. For this purpose the countries included in HMD12 were split into three groups: post-communist countries (Czech Republic, Poland, Russia and Ukraine), other European countries (Germany, Luxembourg, Sweden and Switzerland) and non-European countries (Israel, Japan, New Zealand and USA). In Table 5 results of the decomposition are displayed. The first term ('within') is a relative measure of mean inequality within all groups of the countries, while the second one measures inequality between mean life expectancies for three groups. Both components sum up to 100% or to the value calculated for the whole dataset. In typical applications of Theil index, i. e. measuring welfare (especially income) inequality, a within-group component is usually much higher than between-group one. Decomposition of longevity inequality provides opposite picture: between-group inequality appears to be much higher. Roughly speaking, the gap between Russia or Ukraine and Japan or Switzerland is much higher than the gap between Russia or Ukraine and Czech Republic or Poland. In income studies opposite phenomenon may be observed: the gap between mean incomes for, say, pensioners and employees is much lower than the gap between "poor" and "rich" employees (or even pensioners). As in the previous cases, weighting country-specific life

expectancies changes the results of the decomposition, especially for men when considerable reduction of within-group component arises.

**Table 5. Decomposition of Theil index into within- and between-group inequality (post-communist countries, "Western" Europe, non-European countries)**

Weights	Women, HMD12		Men, HMD12	
	within	between	within	between
no weights	36.1%	63.9%	25.6%	73.5%
actual population shares	38.6%	61.4%	17.7%	82.3%
STATA, min. squares	<i>n. a.</i>	<i>n. a.</i>	14.7%	85.3%
Solver, min. squares	35.0%	65.0%	17.1%	82.9%
Solver min. absolute values	35.1%	64.9%	17.2%	82.8%

*Source: own calculations based on Human Mortality Database (2013)*

## 6. Concluding remarks.

An answer to the question “to weight or not to weight?” depends on the goal of the study. If it is aimed at comparing average public health status between sub-groups, then weighting is not necessary. When, for instance, Russia and Luxembourg are compared with this respect, the sizes of the countries does not influence a large gap between them. This is also true in comparisons of more than two countries by means of inequality indices (Gini index may be interpreted in terms of average absolute relative gap between the units). Weights become necessary when the question is “how unequal people in a given population are?”. In spite of large gap in average life expectancy between Russia and Luxembourg, the impact of the latter on the population composed of two countries is almost negligible, due to its size. Replacing Luxembourg by Germany, characterized by lower life expectancies (resulting in lower distance to the Russian average), would result in increase in inequality in the combined population.

Weighting sub-populations is usually neglected in demographic studies. Works by Ananad et al (2001) and Shkolnikov et al (2001) are among few exceptions, however they do not offer a satisfactory solution for two reasons. First, for some datasets the weights calculated by means of the proposed algorithm may be negative. Second, the calculations utilize matrix algebra

that may be troublesome when calculations have to be repeated, for instance for age groups from 0 to 110 years. Shkolnikov et al (2001) proposed alternative solution based on specialized software (MatLab) which is capable to ensure weights positivity. However, due to MatLab price and availability it cannot be considered a universal outcome. In this paper two alternative solutions, requiring any statistical/econometric package including constrained least squares regression and/or Excel add-in Solver, are proposed. That based on regression is more practical, as may be easily repeated as many times as necessary, once the codes are written, however does not ensure positive weights for some datasets. Using Excel Solver yields positive weights, however is more awkward when the procedures have to be repeated numerous times and may be applied only to small and medium datasets.

Empirical calculations based on 12 countries included into Human Mortality Database and Russia's regional mortality statistics demonstrated a considerable impact of the weights on the results. All unweighted inequality indices differ considerably from those using weights, however the sign of those differences is not fixed and depends on the data specificity. Important, though smaller, difference appear also between indices obtained by means of various weight systems. This demonstrates that the problem of weights in demographic studies (covering also a construction of aggregate life tables) must not be neglected, though none of the solutions described in the present paper can be recommended as ideal. Nevertheless, even imperfect weighting system that do not yield robust results in some cases should be recommended as an alternative to unweighted calculations.

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